

Financial Health Check Questionnaire (Corporate Account)

Account Name _____ MasterAccount No. _____

Email _____ Tel No. _____
country code tel no

To assist your company to better understand and assess your risk tolerance towards investments in J.P. Morgan Funds and the investment choices that would be suitable for you, you are required to complete this questionnaire on an annual basis. **Please complete all questions** and be reminded that we will not be able to accept any subscription instruction from you if you do not complete/update this questionnaire annually.

- What is the investment objective(s) of your company? (You may select multiple options if applicable)**
 Capital preservation Capital appreciation Regular income Hedging Others _____
- What is the source of your company's investment capital? (You may select multiple options if applicable)**
 Short-term cash flow Long-term cash flow Company Reserves Company Profits/Investment Gains
- What is your company's monthly profit/income?**
 HK\$150,000 or below HK\$150,001 - 300,000 HK\$300,001 - 600,000
 HK\$600,001 - 800,000 HK\$800,001 - 1,000,000 HK\$1,000,001 or above
- Has your company had experience in investing in any of the following financial instruments? (You may select multiple options if applicable)**
 Bonds Investment Funds Stocks Forex Structured Products Derivatives None of the above
- How many years of investment experience does your company have in the financial instruments selected in question 4?**
 Nil Less than 1 year 1 to 3 years 3 to 5 years 5 to 10 years More than 10 years
- Describe the investment knowledge of your company:**
 Limited – We have little or no knowledge of financial markets and investments.
 Moderate – We have general knowledge of investments. We understand the general risks related to investments and the importance of diversification.
 High – We are familiar with most financial instruments and the underlying risks.
- Including this proposed subscription/or the upcoming regular investment, if applicable, what percentage of your company's total investable assets is invested in our funds?**
 20% or below 21% - 40% 41% - 60% 61% - 80% Over 80%
- What is the worth of your company's net total liquid assets*?**
 HK\$1,000,000 or below HK\$1,000,001 - 5,000,000 HK\$5,000,001 - 10,000,000 HK\$10,000,001 - 30,000,000
 HK\$30,000,001 - 50,000,000 HK\$50,000,001 - 80,000,000 HK\$80,000,001 - 150,000,000 HK\$150,000,001 or above
- What is the worth of liquid assets has your company reserved for monthly operational expenses?**
 2 month operational expenses or below
 3-month to 5-month operational expenses
 6-month or more operational expenses
- What is the investment horizon of your company?**
 Below 6 months 6 months to 1 year 1 to 2 years
 2 to 3 years 3 to 5 years More than 5 years
- What is the average level of annual fluctuation in investment value that your company would generally be comfortable with?**
 Fluctuates between -5% and +5% Fluctuates between -15% and +15%
 Fluctuates between -30% and +30% Fluctuates <-30% and >+30%
- Which of the following statements most closely fits your company's attitude towards risk?**
 We are very risk averse. We would like to make minimal gain or gain less than inflation to limit our potential loss.
 We want to preserve our capital but we do not mind accepting some small price fluctuation to enhance the potential return of our investments for a rate slightly better than bank deposits.
 We are fairly cautious but are willing to assume some risks to enhance the potential return of our investments.
 We are risk taker and are able to take a significant risk on investment in order to increase our potential returns.
- What would your company do with your investments if the value drops more than 15% over the next 3 months due to market fluctuation?**
 Sell part of or the whole investment Keep the whole investment Buy more of the investments

* Liquid assets are assets which may be easily turned into cash, for example, cash, money in bank accounts and / or money market accounts, actively traded stocks, bonds and mutual funds. However, real estate is not considered to be a liquid asset and one needs to deduct outstanding loans or credit (exclude mortgage loans) to estimate "net" total liquid assets.

Thank you for taking the time to complete our questionnaire.

Client Signature _____

Date _____

CRR:	
BDM / CSO:	
SLX:	Y/N

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