

# Legislative and Regulatory Program

Insights into policy and proposals and their potential impact on defined contribution plans

## PROGRAM BENEFITS

**Timely** Keep pace with recent developments from Washington and the court system

**Relevant** Learn how key legislative and regulatory issues affect plan sponsors, plan participants and their advisors

**Objective** Receive informed input from experienced experts

**UNDERSTANDING KEY LEGISLATIVE AND REGULATORY ISSUES AND MEETING FIDUCIARY OBLIGATIONS ARE CRITICAL YET CHALLENGING FOR PLAN SPONSORS—ESPECIALLY GIVEN TODAY’S RAPIDLY EVOLVING LANDSCAPE.**

From newly enacted laws to pending proposals, reforms and litigation, J.P. Morgan’s *Legislative and Regulatory Program* provides you with timely updates tailored specifically to the defined contribution (DC) audience. The program is designed to help you:

- **Gain a deeper understanding** of regulations and fiduciary responsibilities
- **Stay current** with the complex and changing retirement landscape
- **Work effectively with your partners** on matters relating to plan design, procedures, risks and legal requirements

## Three ways to access our insights



### QUARTERLY BRIEFINGS

Interactive webcasts featuring legislative and regulatory updates, discussions on plan implications and Q&A sessions with **Dan Notto**, J.P. Morgan’s ERISA Strategist



### WHITE PAPERS

In-depth explorations of topics that are important to plan sponsors, along with companion events and slides providing opportunities for additional detail and dialogue



### BULLETINS

Timely updates on the latest developments as well as on-demand calls to further explore issues and answer questions



**Dan Notto**  
ERISA Strategist

**Daniel A. Notto** is an ERISA Strategist with Retirement Solutions at J.P. Morgan Asset Management. Dan leverages more than 30 years of experience to provide legislative and regulatory insights to DC plans and financial advisors across the country. He is a frequent speaker and author on legal matters related to tax-favored savings plans such as 401(k)s and IRAs.

Before joining J.P. Morgan, Dan served as senior retirement plan counsel at AllianceBernstein and general counsel and consulting practice director at Universal Pensions (now Ascensus). He also worked in the legal department of Investors Diversified Services (now Ameriprise Financial).

Dan holds a BS from the University of Minnesota and received his JD (cum laude) from William Mitchell College of Law. He has received the Certified Pension Consultant (CPC) designation from the American Society of Pension Professionals & Actuaries (ASPPA) and is a member of the bar of New York.

### NEXT STEPS

To learn more about our *Legislative and Regulatory Program* or any of our other Retirement Insights programs, contact your J.P. Morgan representative.

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