

# For switching from an OEIC into a J.P. Morgan ISA

Valid from December 2015

Please complete this form in BLOCK LETTERS and black ink, and return it to: **FREEPOST JP MORGAN AM**. An address or a stamp is not required to be added to the envelope. If you have any questions regarding this form please call our UK-based Client Services team on 0800 20 40 20. Our telephone lines are open Monday to Friday, 9am to 5.30pm.

We will be unable to process your application if this form has not been fully completed. If the form has not been completed, we will need to return this to you for completion.

## Financial adviser

Financial Adviser's stamp/details

FCA number

Please tick:

Execution only

OR

Advised

## 1. About you

Your existing J.P. Morgan account number

Your title (e.g. Mr/Mrs/Miss/Ms/Other)

Surname

First name(s) in full

Your permanent residential address

Postcode

Telephone numbers

Daytime telephone number

+ 44 (0)

Evening telephone number

+ 44 (0)

Your date of birth (Day/Month/Year)

City/town of birth

Country of birth

Are you a UK citizen?

YES

NO

If you are a citizen of any other country, please provide country name below:

Email address

**We would like to keep you informed**

If you would like us to contact you about relevant news, offers and new fund launches let us know by ticking the relevant box:

By phone

By email

By post

**Second applicant** (if any)

Your existing J.P. Morgan account number

Your title (e.g. Mr/Mrs/Miss/Ms/Other)

Surname

First name(s) in full

Is your address the same as the first applicant's?

YES

NO

If No please complete the address details below

Your permanent residential address

Postcode

Telephone numbers

Daytime telephone number

+ 44 (0)

Evening telephone number

+ 44 (0)

## 1. About you (continued)

Your date of birth (Day/Month/Year)

City/town of birth

Country of birth

Are you a UK citizen?

YES  NO

If you are a citizen of any other country, please provide country name below:

Email address

## 2. Tax residency

Tax Regulations<sup>a</sup> require us to collect information about each investor's tax residency. In certain circumstances we may be obliged to share information on your account with HRMC or other relevant tax authorities who may provide this information to other jurisdictions under applicable laws and treaties. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly.

### Account holder:

Are you a UK tax resident?

YES  NO

Please note, if you are not a UK tax resident, you cannot open a J.P.Morgan ISA.

Do you have a National Insurance Number?

YES  NO

If yes, please enter your National Insurance Number here

Please indicate if there is any other country in which you are resident for tax purposes and the associated Tax Identification Number below. If you are a US citizen or tax resident, you are not eligible to open this account.

Additional Country of tax residence

Tax identification number

### Second applicant:

Are you a UK tax resident?

YES  NO

Please note, if you are not a UK tax resident, you cannot open a J.P.Morgan ISA.

Do you have a National Insurance Number?

YES  NO

If yes, please enter your National Insurance Number here

Please indicate if there is any other country in which you are resident for tax purposes and the associated Tax Identification Number below. If you are a US citizen or tax resident, you are not eligible to open this account.

Additional Country of tax residence

Tax identification number

<sup>a</sup> The term "tax regulations" refers to regulations created to enable automatic exchange of information and include FATCA<sup>b</sup>, various agreements to improve international tax compliance entered into between the UK and its Crown Dependencies and its Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information

<sup>b</sup> The term "FATCA" refers to The Foreign Account Tax Compliance provisions contained in the US HIRE Act 2010, other relevant legislation implementing FATCA under local law and any associated IGAs.

## 3. Your instructions

You should be aware that this form can only be used if you are switching from an OEIC to an existing ISA investment. If you are opening a new ISA, a J.P. Morgan ISA application form-Direct Investor must also be completed.

### Unclear dealing instructions

Instructions we consider unclear (including, when the Share Class of a Fund was not specified) may lead to a delay in their execution or rejection. Such instructions will only be executed once they have been verified and confirmed and our processing procedure has been completed to our satisfaction. Please note that we will not be liable for any losses or lost opportunities which may result from delays or rejections that arise from unclear instructions.

If you save monthly, do you wish to continue the payment into your ISA <sup>c</sup>:

Yes (if yes, please complete a new Direct Debit instruction form)  No

Name of fund(s) you wish to switch from	Amount you wish to switch (e.g. Total holding or a specific amount in £)	Name of fund(s) you wish to switch to	Income OR Accumulation <sup>d</sup>	
			Inc	Acc
JPM Global High Yield Bond A Net Acc	£600	JPM Global Equity Income A Net Acc (GBP hedged)		<input checked="" type="checkbox"/>
<input type="text"/>	£	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	£	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	£	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

<sup>c</sup> Subject to the total annual subscription within an ISA

<sup>d</sup> Please tick the share class you would like

## 4. Payment details

To ensure that payment can be made in respect of any proceeds or income deriving from your investment, please provide your bank or building society account details below.

Name of bank or building society

Name of account holder(s)



Branch address



Postcode

Account number

Bank sort code

Roll number *(applies to building society accounts only)*

## 5. Your signature

Important notes:

- i) I/we have received and read the relevant Key Investor Information Document (KIID). Please refer to the J.P. Morgan Key Features and Terms and Conditions for investment limits, fund details and how your Personal Data may be processed
- ii) Switching your OEICs will count as a disposal for capital gains tax purposes. However, under present legislation, you will not have to pay capital gains tax if your total net realised gains in the current tax year do not exceed the current annual ISA allowance. Please note this subject to change in future tax years.
- iii) For joint investments ALL share holders must sign this form.
- iv) Unless you have a current year or last year ISA with us a new OEIC ISA Application form must be completed as well as this form.
- v) The information that I/we have given in this application form is correct to the best of our knowledge and belief.
- vi) I/we agree to inform you immediately of any change in our circumstances affecting any of the information in this form, in particular any changes to the information I/we have provided relating to our tax residency.

Your signature *(if a joint application, all must sign)*

Date *(Day/Month/Year)*

Second applicant's signature

Date *(Day/Month/Year)*

## Checklist

### Checklist for switching OEIC funds to an ISA with J.P. Morgan Asset Management

Before sending us your application please check that you've completed the following:

- Provided your full name and permanent residential address including postcode.
- Provided your National Insurance Number and date of birth.
- Included the amount you wish to switch from your existing fund.
- Included the name of the fund you wish to switch from and to.
- Selected income or accumulation shares.
- Provided your bank account or building society account details if you've chosen income shares.
- Received and read the relevant KIID.
- All account holders have signed the form.
- Read and retained the J.P. Morgan Key Features and Terms and Conditions.

If you have any questions regarding the completion of your application form, please contact our UK-based Investor Services team on 0800 20 40 20.

Please note all correspondence should be sent to the address shown at the top of the application and not to the registered address. Telephone calls may be recorded and monitored for security and training purposes.

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