Transfer the shares to a beneficiary's Investment Account

J.P.Morgan
Asset Management

Valid from December 2015

Please complete this form in BLOCK CAPITALS and black ink. You should read the Declaration at the end of this form and the Data Privacy Policy and Anti-Money Laundering sections in the J.P. Morgan Features and Terms and Conditions Document. If investing in a sub fund(s) you must also receive and read the Key Investor Information Document (KIID) before completing this form. This form should be returned to: **FREEPOST JP MORGAN AM.** An address or a stamp is not required to be added to the envelope. **If there is more than one beneficiary please photo copy this form or contact us to request further forms.**

If you have any questions regarding this form please call our UK-based Client Services team on 0800 092 1170. Our telephone lines are open Monday to Friday, 9am to 5.30pm.

We will be unable to process your application if this form has not been fully completed. If the form has not been completed, we will need to return this to you for completion.

Section A To be completed by all Personal Representatives named on the Grant of Representation.

If you are not applying for Grant of Representation please call 0800 092 1170 so that we can provide you with the appropriate documentation.

Deceased's J.P. Morgan account number

Step 1

Instruction to transfer the investments to the beneficiary.

If the investment is an OEIC the Personal Representatives will need to complete a Stock Transfer Form. One Stock Transfer Form is required per fund, per beneficiary.This information can be found on the covering letter returned with the Death certificate. Please ensure all other Steps on this form are completed.

Percentage of the total investments held in the above account to be transferred	Name of beneficiary	Beneficiary account number (if an existing client)[A]
%		

Step 2

Instruction to transfer cash on the account (if applicable) to the beneficiary.

Percentage of the cash held in the above account to be transferred	Name of beneficiary	Beneficiary account number (if an existing client)[A]
%		

[A]If the beneficiary is not an existing client they each need to complete Section B.

☐ Please tick this box if you are also instructing us to invest into a beneficiary's ISA. We will carry out the instructions to invest in the beneficiary's ISA before transferring the remaining shares into the beneficiary's investment account.

Step 3

Signed by **all** Personal Representatives as named on the Grant of Representation.

Signed	Print name	Date *(Day/Month/Year)*

Step 4

Signature verification documents from all Personal Representatives as named on the Grant of Representation.

On all occasions we need to see a certified copy of a current signed passport or a current signed full UK driving licence for all Personal Representatives named on the Grant of Representation before we carry out any instruction. Please be aware that if we do not receive a signed document this may result in a delay to your instruction.

(Please see page 5 of brochure for further details).

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Section B This section is to be completed by the beneficiary(s) named in Section A if they are new clients.

You should read the Declaration at the end of this form and the 'Data Privacy Policy and Anti-Money Laundering' section of the J.P. Morgan Key Features and Terms and Conditions document before completing this form.

1. About you (the beneficiary)

Your title *(e.g. Mr/Mrs/Miss/Ms/Other)*   Surname   First name(s) in full

Your permanent residential address

Postcode

Your old residential address

Your old Postcode

Telephone numbers

Daytime telephone number

+ 44 (0)

Evening telephone number

+ 44 (0)

Your date of birth *(Day/Month/Year)*   City/town of birth   Country of birth

Are you a UK citizen?

YES   NO

If you are a citizen of any other country, please provide country name below:

Email address *(optional)*

We would like to keep you informed

If you would like us to contact you about relevant news, offers and new fund/trust launches let us know by ticking the relevant box:

By phone   **By email**   **By post**

2. Tax residency

Tax Regulations[A] require us to collect information about each investor's tax residency. In certain circumstances we may be obliged to share information on your account with HMRC or other relevant tax authorities who may provide this information to other jurisdictions under applicable laws and treaties. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly.

Account holder:

Are you a UK tax resident?

YES   NO

Do you have a National Insurance Number?

YES   NO

If yes, please enter your National Insurance Number here

Please indicate if there is any other country in which you are resident for tax purposes and the associated Tax Identification Number below. If you are a US citizen or tax resident, you are not eligible to open this account.

Additional Country of tax residence *(if applicable)*   Tax identification number

[A] The term "tax regulations" refers to regulations created to enable automatic exchange of information and include FATCA[B], various agreements to improve international tax compliance entered into between the UK and its Crown Dependencies and its Overseas Territories and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information

[B] The term "FATCA" refers to The Foreign Account Tax Compliance provisions contained in the US HIRE Act 2010, other relevant legislation implementing FATCA under local law and any associated IGAs.

3. Income details

Please indicate your income preferences for your J.P. Morgan Investment Account. This instruction will apply across your entire J.P. Morgan Investment Account. If you do not select a preference we will automatically reinvest income in additional shares of the same investment.

☐ Reinvest income in additional shares of the same investment

☐ Pay income into your bank or building society account.

If you select for income to be paid into your bank or building society account, please complete the section below.

Name of bank or building society

Name of account holder(s)

Branch address

Postcode

Account number

Bank sort code

Reference number (building society)

4. Your signature and declaration

To: J.P. Morgan Trustee & Administration Services Limited

I appoint J.P. Morgan Trustee & Administration Services Limited as my/our Plan Manager, in the operation of my J.P. Morgan Investment Account.

I agree that the J.P. Morgan Investment Account will be operated in accordance with the J.P. Morgan Investment Account Terms and Conditions contained in the current J.P. Morgan Key Features and Terms and Conditions document.

I have received and read the relevant KIID.

I confirm that I am not a US citizen/resident and neither hold nor will invest in the J.P. Morgan Investment Account for another US citizen/resident.

I confirm that I am accountable to the relevant legal, tax and exchange control regulations in force in the countries of my citizenship, residence or domicile.

I confirm that I am we are 18 years of age or over and that I have read and agree to the J.P. Morgan Investment Account Terms and Conditions.

The information that I have given in this application form is correct to the best of my knowledge and belief.

I agree to inform you immediately of any change in my circumstances affecting any of the information in this form, in particular any changes to the information I have provided relating to my tax residency.

This is our standard client agreement upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing them together with the separate J.P. Morgan Key Features and Terms and Conditions document and for OEIC investments the KIID, or for Investment Trusts the Investment Trust Profiles document. If you do not understand any point please ask for information.

J.P. Morgan Asset Management is unable to assess or provide advice on the suitability of this product for you or your individual circumstances, and therefore you will not benefit from the investor protections under the Financial Conduct Authority (FCA) rules on assessing suitability. If you have any questions about the suitability of this investment for you and would like to benefit from the additional protections provided under the FAC's rules on suitability, then please contact your Financial Adviser.

If investing via a financial adviser, I understand that my financial adviser will have online access to view my account and documents.

Your signature (beneficiary)

Date *(Day/Month/Year)*

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Checklist

We have created a checklist of all the necessary information required to process your application as quickly and efficiently as possible. Before sending us your form please check that you've completed the following:

- Provided the sealed Grant of Representation
- All Personal Representatives have signed Section A
- All Personal Representatives have enclosed a certified signature
- verification document (listed in step 4)
- Beneficiary has fully completed Section B (where necessary)

If you have any questions regarding the completion of this form, please contact our dedicated team on 0800 092 1170.

Please note all correspondence should be sent to the address shown at the top of the application and not to the registered address. Telephone calls may be recorded and monitored for security and training.

J.P. Morgan Trustee & Administration Services Limited is authorised and regulated by the Financial Conduct Authority. Registered Office: 25 Bank Street, Canary Wharf, London E14 5JP. Registered in England No. 1823867.

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